
NATIONAL COMMODITY CLEARING LIMITED

Circular to all Members of the Clearing Corporation

Circular No. : NCCL/CLEARING-048/2022

Date : October 07, 2022

Subject : Standardization of file formats - Clearing and Settlement

This is in continuation of Circular No. NCCL/CLEARING-022/2022 dated June 09, 2022, Circular No. NCCL/CLEARING-030/2022 dated July 05, 2022 and Circular No. NCCL/CLEARING-045/2022 dated September 28, 2022 on “Standardization of file formats – Clearing and Settlement”.

The standardized file formats along with tags in alignment with “ISO 20022 standard”: Financial services – Universal Financial Industry Message Scheme” and data types are provided below.

Members are requested to take note of the same.

For and on behalf of
National Commodity Clearing Limited

Sweedin Satav
Vice President

For further information / clarifications, please contact

1. Customer Service Group on toll free number: 1800 266 6007
2. Customer Service Group by E-mail to: contactus@nccl.co.in

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1. Trade Report for Trading Members (TR01)

- **Naming convention:** NCCL_TR01_<Member Code>_DDMMYYYY.CSV
- The file is generated for each trading member for all contracts in which member has executed at least one trade on the business date.
- The file will be generated after close of trading hours on each business date.
- The file structure for the same is as given below.

Sr. No.	Column Name	Data Type	Tag
1	Trade Number	Varchar2 (18)	<UnqTradIdr>
2	Trade date	Date	<TradDt>
3	Activity Type	Number (2)	<RptdTxs>
4	Market Type	Varchar2 (1)	<MktTpAndId>
5	Filler		
6	Instrument Type	Varchar2 (6)	<FinInstrmTp>
7	Symbol	Varchar2 (10)	<TckrSymb>
8	Filler		
9	Expiry Date	Date	<XpryDt>
10	Strike Price	Number (9,2)	<StrkPric>
11	Option Type	Varchar2 (2)	<OptnTp>
12	Corporate Action level	Number (2)	<OffclCorpActnEvtId>
13	Filler		
14	Filler		
15	Buy Broker	Varchar2 (5)	<BuyBrkr>
16	Sell Broker	Varchar2 (5)	<SellBrkr>
17	Trade Price	Number (10,2)	<Pric>
18	Trade Date Time	Date	<TradDtTm>
19	Trade Volume	Number (12)	<TradQty>

20	Trade Token No	Number (15)	<FinInstrmId>
21	Trade Buy Branch	Number (2)	<BuyBrnchId>
22	Buy CM Code	Varchar2 (6)	<BuyClrMmbld>
23	Sell CM Code	Varchar2 (6)	<SellClrMmbld>
24	Trade Sell Branch	Number (2)	<SellBrnchId>
25	Buy Custodial Participant	Varchar2 (12)	<BuyCtdnPtcptId>
26	Buy Side Confirmation	Varchar2 (1)	<BuyFullyExctdConfSnt>
27	Sell Custodial Participant	Varchar2 (12)	<SellCtdnPtcptId>
28	Sell Side Confirmation	Varchar2 (1)	<SellFullyExctdConfSnt>
29	Buy Covered Uncovered Flag	Varchar2 (1)	<BuyCvrdFlg>
30	Sell Covered Uncovered Flag	Varchar2 (1)	<SellCvrdFlg>
31	Buy Old Custodial Participant	Varchar2 (12)	<BuyOdCtdnPtcpt>
32	Buy Old CM Code	Varchar2 (6)	<BuyOdClrMmbld>
33	Sell Old Custodial Participant	Varchar2 (12)	<SellOdCtdnPtcpt>
34	Sell Old CM Code	Varchar2 (6)	<SellOdClrMmbld>
35	Trade Buyer Terminal ID	Number (5)	<BuyrTermnId>
36	Trade Seller Terminal ID	Number (5)	<SellrTermnId>
37	Buy Order No	Varchar2 (12)	<BuyOrdId>
38	Sell Order No	Varchar2 (12)	<SellOrdId>
39	Buy Account No	Varchar2 (10)	<BuyClntId>
40	Sell Account No	Varchar2 (10)	<SellClntId>
41	Buy Remarks	Varchar2 (24)	<BuyAddtlInf>
42	Sell Remarks	Varchar2 (24)	<SellAddtlInf>
43	Buy Position	Varchar2 (1)	<BuyPos>
44	Sell Position	Varchar2 (1)	<SellPos>
45	Buy Proprietor Client Flag	Varchar2 (1)	<BuyAcctTp>
46	Sell Proprietor Client Flag	Varchar2 (1)	<SellAcctTp>

47	Filler		
48	Filler		
49	Control Flag	Varchar2 (1)	<CtrlFlg>
50	Filler		
51	Filler		
52	Filler		
53	Filler		
54	Filler		
55	Buy CTCL ID	Varchar2 (15)	<BuyCTCLId>
56	Sell CTCL ID	Varchar2 (15)	<SellCTCLId>

2. Trades Report for Clearing Members (TR02)

- **Naming convention:** NCCL_TR02_<CM Primary Member Code>_DDMMYYYY.CSV
- The file is generated for each clearing member for all contracts in which trading member and/or custodian participant linked with such clearing member has executed at least one trade on the business date.
- The file will be generated after close of trading hours on each business date.
- The file structure for the same is as given below.

Sr. No.	Column Name	Data Type	Tag
1	Trade Number	Varchar2 (18)	<UnqTradIdr>
2	Trade date	Date	<TradDt>
3	Activity Type	Number (2)	<RptdTxsTs>
4	Market Type	Varchar2 (1)	<MktTpAndId>
5	Filler		
6	Instrument Type	Varchar2 (6)	<FinInstrmTp>
7	Symbol	Varchar2 (10)	<TckrSymb>
8	Filler		

9	Expiry Date	Date	<XpryDt>
10	Strike Price	Number (9,2)	<StrkPric>
11	Option Type	Varchar2 (2)	<OptnTp>
12	Corporate Action level	Number (2)	<OffclCorpActnEvtId>
13	Filler		
14	Filler		
15	Buy Broker	Varchar2 (5)	<BuyBrkr>
16	Sell Broker	Varchar2 (5)	<SellBrkr>
17	Trade Price	Number (10,2)	<Pric>
18	Trade Date Time	Date	<TradDtTm>
19	Trade Volume	Number (12)	<TradQty>
20	Trade Token No	Number (15)	<FinInstrmId>
21	Trade Buy Branch	Number (2)	<BuyBrnchId>
22	Buy CM Code	Varchar2 (6)	<BuyClrMmbId>
23	Sell CM Code	Varchar2 (6)	<SellClrMmbId>
24	Trade Sell Branch	Number (2)	<SellBrnchId>
25	Buy Custodial Participant	Varchar2 (12)	<BuyCtdnPtcptId>
26	Buy Side Confirmation	Varchar2 (1)	<BuyFullyExctdConfSnt>
27	Sell Custodial Participant	Varchar2 (12)	<SellCtdnPtcptId>
28	Sell Side Confirmation	Varchar2 (1)	<SellFullyExctdConfSnt>
29	Buy Covered Uncovered Flag	Varchar2 (1)	<BuyCvrdFlg>
30	Sell Covered Uncovered Flag	Varchar2 (1)	<SellCvrdFlg>
31	Buy Old Custodial Participant	Varchar2 (12)	<BuyOdCtdnPtcpt>
32	Buy Old CM Code	Varchar2 (6)	<BuyOdClrMmbId>
33	Sell Old Custodial Participant	Varchar2 (12)	<SellOdCtdnPtcpt>
34	Sell Old CM Code	Varchar2 (6)	<SellOdClrMmbId>
35	Trade Buyer Terminal ID	Number (5)	<BuyrTermnId>

36	Trade Seller Terminal ID	Number (5)	<SellrTermnllId>
37	Buy Order No	Varchar2 (12)	<BuyOrdrlId>
38	Sell Order No	Varchar2 (12)	<SellOrdrlId>
39	Buy Account No	Varchar2 (10)	<BuyClntId>
40	Sell Account No	Varchar2 (10)	<SellClntId>
41	Buy Remarks	Varchar2 (24)	<BuyAddtlInf>
42	Sell Remarks	Varchar2 (24)	<SellAddtlInf>
43	Buy Position	Varchar2 (1)	<BuyPos>
44	Sell Position	Varchar2 (1)	<SellPos>
45	Buy Proprietor Client Flag	Varchar2 (1)	<BuyAcctTp>
46	Sell Proprietor Client Flag	Varchar2 (1)	<SellAcctTp>
47	Filler		
48	Filler		
49	Control Flag	Varchar2 (1)	<CtrlFlg>
50	Filler		
51	Filler		
52	Filler		
53	Filler		
54	Filler		
55	Buy CTCL ID	Varchar2 (15)	<BuyCTCLId>
56	Sell CTCL ID	Varchar2 (15)	<SellCTCLId>

3. Commodities Transaction Tax Report for Trading Member (CTT01)

- **Naming convention:** NCCL_CTT01_<Member code>_DDMMYYYY.CSV
- The file is generated for each trading members giving details of Commodities Transaction Tax.
- The file will be generated after close of trading hours on each business date.
- The file structure for the same is as given below:

Control record type 10 – CTT information at Trading Member level

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	CTT date	Date	<ClctnDt>
3	Trading Member Code	Varchar2 (5)	<Brkr>
4	Total CTT	Numeric (22,2)	<TtlTxs>

Details record type 20 – CTT information at Client level

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	CTT date	Date	<ClctnDt>
3	Trading Member Code	Varchar2 (5)	<Brkr>
4	Trading client code	Varchar2 (12)	<ClntId>
5	Total CTT	Numeric (22,2)	<TtlTxs>

Details record type 30 – CTT information at client contract level for sale of contracts

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	CTT date	Date	<ClctnDt>
3	Trading Member Code	Varchar2 (5)	<Brkr>
4	Client Code	Varchar2 (12)	<ClntId>
5	Instrument Type	Char (12)	<FinInstrmTp>
6	Symbol	Char (12)	<TckrSymb>
7	Expiry Date	Date	<XpryDt>
8	Strike price	Numeric (11,4)	<StrkPric>
9	Option Type	Varchar2 (2)	<OptnTp>
10	CA level	Number (2)	<OffclCorpActnEvtId>
11	Sell quantity	Number (12)	<TtlSellTradgVol>

12	Sell value	Numeric (22,0)	<TtlSellTrfVal>
13	Taxable sell value futures	Numeric (22,0)	<TaxblSellFutrsVal>
14	Taxable sell value options	Numeric (22,0)	<TaxblSellOptnVal>
15	CTT futures	Numeric (22,0)	<FutrsTtlTxs>
16	CTT options	Numeric (22,0)	<OptnTtlTxs>
17	Filler		
18	Filler		
19	Filler		
20	Filler		
21	Filler		
22	Filler		
23	Total CTT	Numeric (22,2)	<TtlTxs>

Details record type 40 – CTT information at client contract level for exercise of contracts

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	CTT date	Date	<ClctnDt>
3	Trading Member Code	Varchar2 (5)	<Brkr>
4	Trading Client Code	Varchar2 (12)	<ClntId>
5	Instrument Type	Varchar2 (6)	<FinInstrmTp>
6	Symbol	Varchar2 (10)	<TckrSymb>
7	Expiry Date	Date	<XpryDt>
8	Strike price	Number (9,2)	<StrkPric>
9	Option Type	Varchar2 (2)	<OptnTp>
10	CA level	Number (2)	<OffclCorpActnEvtId>
11	Exercise quantity	Number (12)	<OptnExrcQty>
12	Exercise value	Numeric (22,2)	<OptnExrcVal>

13	Taxable Exercise value	Numeric (22,2)	<TaxblExrcVal>
14	Filler		
15	Filler		
16	Filler		
17	Filler		
18	Filler		
19	Filler		
20	CTT options	Number (15,2)	<OptnTtlTxs>
21	Total CTT	Numeric (22,2)	<TtlTxs>

4. Commodities Transaction Tax Report for Clearing Members (CTT02)

- **Naming convention:** NCCL_CTT02_<CM Primary Member code>_DDMMYYYY.CSV
- The file gives the details of Commodities Transaction Tax for each Clearing Member.
- The file will be generated after close of trading hours on each business date.
- The file structure for the same is as given below:

Control record type 10 – CTT information at Clearing Member level

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	CTT date	Date	<ClctnDt>
3	Due Date of payment	Date	<DueDt>
4	Clearing Member Code	Varchar2 (6)	<ClrMmbld>
5	Total CTT	Numeric (22,2)	<TtlTxs>

Detail record type 20 – CTT information at Trading Member level

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	CTT date	Date	<ClctnDt>

3	Trading Member Code	Varchar2 (12)	<Brkr>
4	Total CTT	Numeric (22,0)	<TtlTxs>

Details record type 30 – CTT information at client contract level for sale of contracts

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	CTT date	Date	<ClctnDt>
3	Trading Member Code	Varchar2 (12)	<Brkr>
4	Trading client code	Varchar2 (12)	<ClntId>
5	Total CTT	Numeric (22,0)	<TtlTxs>

Details record type 40 – CTT information at client contract level for sale of contracts

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	CTT date	Date	<ClctnDt>
3	Trading Member Code	Varchar2 (12)	<Brkr>
4	Trading Client Code	Varchar2 (12)	<ClntId>
5	Instrument Type	Varchar2 (6)	<FinInstrmTp>
6	Symbol	Char (12)	<TckrSymb>
7	Expiry Date	Date	<XpryDt>
8	Strike price	Numeric (11,4)	<StrkPric>
9	Option Type	Varchar2 (2)	<OptnTp>
10	CA level	Number (2)	<OffclCorpActnEvtId>
11	Sell quantity	Number (12)	<TtlSellTradgVol>
12	Sell value	Numeric (22,0)	<TtlSellTrfVal>
13	Taxable sell value futures	Numeric (22,0)	<TaxblSellFutrsVal>
14	Taxable sell value options	Numeric (22,0)	<TaxblSellOptnVal>
15	CTT futures	Numeric (22,0)	<FutrsTtlTxs>

16	CTT options	Numeric (22,0)	<OptnTtlTaxes>
17	Filler		
18	Filler		
19	Filler		
20	Filler		
21	Filler		
22	Filler		
23	Total CTT	Numeric (22,2)	<TtlTaxes>

Details record type 50 – CTT information at client contract level for exercise of contracts

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	CTT date	Date	<ClctnDt>
3	Trading Member Code	Varchar2 (5)	<Brkr>
4	Trading Client Code	Varchar2 (12)	<ClntId>
5	Instrument Type	Varchar2 (6)	<FinInstrmTp>
6	Symbol	Varchar2 (10)	<TckrSymb>
7	Expiry Date	Date	<XpryDt>
8	Strike price	Numeric (11,4)	<StrkPric>
9	Option Type	Varchar2 (2)	<OptnTp>
10	CA level	Number (2)	<OffclCorpActnEvtId>
11	Exercise quantity	Number (12)	<OptnExrcQty>
12	Exercise value	Numeric (22,2)	<OptnExrcVal>
13	Taxable Exercise value	Numeric (22,2)	<TaxblExrcVal>
14	Filler		
15	Filler		
16	Filler		

17	Filler		
18	Filler		
19	Filler		
14	CTT options	Numeric (22,2)	<OptnTtlTxs>
15	Total CTT	Numeric (22,2)	<TtlTxs>

5. Stamp Duty Report for Trading Member (SD01)

- **Naming convention:** NCCL_SD01_<Member code>_DDMMYYYY.CSV
- The file is generated for each trading members giving details of Stamp Duty.
- The file will be generated after close of trading hours on each business date.
- The file structure for the same is as given below:

Control record type 10 – Stamp Duty information at Trading Member level

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	Trade date	Date	<TradDt>
3	Trading Member Code	Numeric (6)	<Brkr>
4	Filler		
5	Total Stamp Duty	Numeric (25,2)	<StmpDtyAmt>

Details record type 20 – Stamp Duty information at Client level

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	Trade date	Date	<TradDt>
3	Trading Member Code	Varchar2 (5)	<Brkr>
4	Trading client code	Varchar2 (12)	<ClntId>
5	Total Stamp Duty	Numeric (22,2)	<StmpDtyAmt>
6	Client State Union territory	Varchar2 (50)	<CtrySubDvsn>

Details record type 30 – Stamp Duty information at client contract level for sale of contracts

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	Stamp Duty date	Date	<ClctnDt>
3	Filler		
4	Trading Member Code	Char (12)	<Brkr>
5	Client Code	Varchar2 (12)	<ClntId>
6	Filler		
7	Instrument Type	Char (12)	<FinInstrmTp>
8	Symbol	Char (12)	<TckrSymb>
9	Expiry Date	Date	<XpryDt>
10	Strike price	Numeric (9,4)	<StrkPric>
11	Option Type	Varchar2 (2)	<OptnTp>
12	CA level	Number (2)	<OffclCorpActnEvtId>
13	Filler		
14	Filler		
15	Buy quantity	Number (12)	<TtlBuyTradgVol>
16	Buy value	Number (15,2)	<TtlBuyTrfVal>
17	Filler		
18	Filler		
19	Filler		
20	Filler		
21	Filler		
22	Filler		
23	Filler		
24	Filler		

25	Filler		
26	Filler		
27	Taxable Buy value futures	Number (15,2)	<TaxblBuyFutrsVal>
28	Taxable Buy value options	Number (15,2)	<TaxblBuyOptnVal>
29	Stamp Duty futures	Number (15,2)	<FutrsStmpDty>
30	Stamp Duty options	Number (15,2)	<OptnStmpDty>
31	Filler		
32	Total Stamp Duty	Numeric (22,2)	<StmpDtyAmt>
33	Client State	Varchar2 (50)	<CtrySubDvsn>

6. Stamp Duty Report for Clearing Member (SD02)

- **Naming convention:** NCCL_SD02_<CM Primary Member code>_DDMMYYYY.CSV
- The file gives the details of Stamp Duty for each Clearing Member.
- The file will be generated after close of trading hours on each business date.
- The file structure for the same is as given below:

Control record type 10 – Stamp Duty information at Clearing Member level

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	Trade date	Date	<TradDt>
3	Due Date of payment	Date	<DueDt>
4	Clearing Member Code	Varchar2 (6)	<ClrMmbld>
5	Filler		
6	Total Stamp Duty	Numeric (25,2)	<StmpDtyAmt>

Detail record type 20 – Stamp Duty information at Trading Member level

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>

2	Trade date	Date	<TradDt>
3	Trading Member Code	Char (12)	<Brkr>
4	Total Stamp Duty	Numeric (22,2)	<StmpDtyAmt>

Details record type 30 – Stamp Duty information at Client level

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	Trade date	Date	<TradDt>
3	Trading Member Code	Varchar2 (12)	<Brkr>
4	Trading client code	Varchar2 (12)	<ClntId>
5	Total Stamp Duty	Numeric (22,2)	<StmpDtyAmt>
6	Client State	Varchar2 (50)	<CtrySubDvsn>

Details record type 40 – Stamp Duty information at client contract level for sale /purchase of contracts

Sr. No.	Field	Data Type	TAGS
1	Record Type	Number (2)	<TaxRptHdr>
2	Stamp Duty date	Date	<ClctnDt>
3	Filler		
4	Trading Member Code	Char (12)	<Brkr>
5	Client Code	Varchar2 (12)	<ClntId>
6	Filler		
7	Instrument Type	Char (12)	<FinInstrmTp>
8	Symbol	Varchar2 (10)	<TckrSymb>
9	Expiry Date	Date	<XpryDt>
10	Strike price	Number (9,2)	<StrkPric>
11	Option Type	Varchar2 (2)	<OptnTp>
12	CA level	Number (2)	<OffclCorpActnEvtId>

13	Filler		
14	Filler		
15	Buy quantity	Number (12)	<TtlBuyTradgVol>
16	Buy value	Number (15,2)	<TtlBuyTrfVal>
17	Filler		
18	Filler		
19	Filler		
20	Filler		
21	Filler		
22	Filler		
23	Filler		
24	Filler		
25	Filler		
26	Filler		
27	Taxable Buy value futures	Number (15,2)	<TaxblBuyFutrsVal>
28	Taxable Buy value options	Number (15,2)	<TaxblBuyOptnVal>
29	Stamp Duty futures	Number (15,2)	<FutrsStmpDty>
30	Stamp Duty options	Number (15,2)	<OptnStmpDty>
31	Filler		
32	Total Stamp Duty	Number (15,2)	<StmpDtyAmt>
33	Client State	Varchar2 (50)	<CtrySubDvsn>

7. Detailed Position Report for Trading Members (PS03)

- **Naming convention:** NCCL_PS03_<Member code>_DDMMYYYY.CSV
- The file is generated for each trading member for all contracts in which a client of trading member &/or proprietary account of the member has either a brought forward position or an open position on that day.
- The file will be generated after close of trading hours on each business date.

- The file structure for the same is as given below:

Sr. No.	Field	Data Type	TAGS
1	Position Date	Date (11)	<RptgDt>
2	Segment Indicator	Varchar2 (1)	<MktTpAndId>
3	Settlement Type	Varchar2 (1)	<SttlmTp>
4	CM Code	Char (6)	<ClrMmbld>
5	Member Type	Char (1)	<CCPMmbTp>
6	Member Code	Varchar2 (12)	<BrkrOrCtdnPtcpId>
7	Account Type	Varchar2 (1)	<AcctTp>
8	Client Code	Varchar2 (12)	<ClntId>
9	Instrument Type	Varchar2 (6)	<FinInstrmTp>
10	Symbol	Varchar2 (10)	<TckrSymb>
11	Expiry Date	Date (11)	<XpryDt>
12	Strike Price	Numeric (11,4)	<StrkPric>
13	Option Type	Char (2)	<OptnTp>
14	CA level	Number (2)	<OffclCorpActnEvtId>
15	B/F long Quantity	Number (9)	<OpngLngQty>
16	B/F long value	Numeric (22,2)	<OpngLngVal>
17	B/F short Quantity	Number (9)	<OpngShrtQty>
18	B/F short value	Numeric (22,2)	<OpngShrtVal>
19	Days buy open quantity	Number (9)	<OpnBuyTradgQty>
20	Days buy open value	Numeric (22,2)	<OpnBuyTradgVal>
21	Days sell open quantity	Number (9)	<OpnSellTradgQty>
22	Days sell open value	Numeric (22,2)	<OpnSellTradgVal>
23	Pre Exercise / Assignment Long quantity	Number (9)	<PreExrcAssgndLngQty>
24	Pre Exercise / Assignment Long value	Numeric (22,2)	<PreExrcAssgndLngVal>
25	Pre Exercise / Assignment Short quantity	Number (9)	<PreExrcAssgndShrtQty>

26	Pre Exercise / Assignment Short value	Numeric (22,2)	<PreExrcAssgndShrtVal>
27	Reserved	Number (9)	
28	Reserved	Number (9)	
29	Reserved	Number (15,2)	
30	Options Devolved Long Qty	Number (9)	<OptnDvlvdLngQty>
31	Options Devolved Long Value	Number (15,2)	<OptnDvlvdLngVal>
32	Options Devolved Short Qty	Number (9)	<OptnDvlvdShrtQty>
33	Options Devolved Short Value	Number (15,2)	<OptnDvlvdShrtVal>
34	Filler		
35	Filler		
36	Post Exercise / Assignment long quantity	Number (9)	<PstExrcAssgndLngQty>
37	Post Exercise / Assignment long value	Numeric (22,2)	<PstExrcAssgndLngVal>
38	Post Exercise / Assignment short quantity	Number (9)	<PstExrcAssgndShrtQty>
39	Post Exercise / Assignment short value	Numeric (22,2)	<PstExrcAssgndShrtVal>
40	Settlement Price	Number (9,2)	<SttlmPric>
41	Net Premium	Numeric (22,2)	<PrmAmt>
42	Daily MTM settlement value	Numeric (22,2)	<DalyMrkToMktSettlmVal>
43	Futures final settlement value	Number (15,2)	<FutrsFnlSttlmVal>
44	Filler		
45	Buy Delivery Allocated Quantity	Number (9)	<BuyDlvryAllctdQty>
46	Sell Delivery Allocated Quantity	Number (9)	<SellDlvryAllctdQty>
47	Post Allocation Long Quantity	Number (9)	<PstAllcnLngQty>
48	Post Allocation Short Quantity	Number (9)	<PstAllcnShrtQty>
49	Final Settlement Price	Number (9,2)	<FnlSttlmPric>

Value 1: Post Exercise / Assignment long quantity & Post Exercise / Assignment short quantity**For Options contract**

Call Options: -

Post Exercise / Assignment long Qty = Sr. 23 - Sr. 30

Post Exercise / Assignment short Qty = Sr. 25 - Sr. 32

Put Options: -

Post Exercise / Assignment short Qty = Sr. 23 - Sr. 32

Post Exercise / Assignment short Qty = Sr. 25 - Sr. 30

For Futures on Commodity contract

Post Exercise / Assignment long Qty = (Sr. 23 - Sr. 25) + (Sr. 30 - Sr. 32) if greater than zero else '0'

Post Exercise / Assignment short Qty = (Sr. 25 - Sr. 23) + (Sr. 32 - Sr. 30) if greater than zero else '0'

For Futures on Commodity contract – Value will be Zero

Value 2: Post Exercise / Assignment long Value & Post Exercise / Assignment Short Value

For Options contract – Value will be Zero

For Futures on Commodity Contract

Post Exercise / Assignment long value = (Sr. 24 + Sr. 26) + (Sr. 33 - Sr. 31) if long Qty >= Short Qty else 0

Post Exercise / Assignment short value = (Sr. 24 + Sr. 26) + (Sr. 33 - Sr. 31) if long Qty < Short Qty else 0

For Futures on Commodity contract – Value will be Zero

Value 3: Net Premium

- In case of futures contracts, the value is zero.
- In the case of options contracts, the value is the day's sell value minus the day's buy value.

-
- The value is provided with the appropriate sign convention Payable (-)/Receivable (+)
 - The value represents premium settlement amount receivable or payable by the member.

8. Detailed position file for clearing members (PS04)

- **Naming convention:** NCCL_PS04_<CM Primary Member code>_DDMMYYYY.CSV
- The file is generated for each clearing member for all contracts in which a trading member &/or custodian participant linked with such clearing member, has either a brought forward position or an open position on that day.
- The file contains information at the trading member/ custodian participant level.
- The trading member information is clubbed at account level viz. proprietary and client.
- This file shall not be generated for the trading member who clears own trades. For such trading members only detailed position file for the trading member (PS03) shall be generated.
- The file structure is same as PS03.

9. Detailed position file for STCM & PCM (PS06)

- **Naming convention:** NCCL_PS06_<CM Primary Member code>_DDMMYYYY.CSV
- The file is generated for each STCM and PCM for all contracts in which a trading member &/or custodian participant linked with such clearing member, has either a brought forward position or an open position on that day.
- The file contains information at the trading member/ custodian participant and client level.
- This file shall not be generated for the trading member who clears own trades. For such trading members only detailed position file for the trading member (PS03) shall be generated
- The file will be generated after close of trading hours on each business date.
- The file structure is same as PS03.

10. Final Obligation Report for Clearing Member. (FOBG01)

- **Naming convention:** NCCL_FOBG01_<Member code>_DDMMYYYY.CSV
- The file shows funds and commodities settlement obligation of the CM for distinct combination of settlement type, settlement no and commodity.
- The file would be generated after close of trading hours during tender period of delivery.

- This is a new report.
- The file structure for the same is as given below.

Sr. No.	Field	Data Type	TAGS
1	Settlement Type	Char (1)	<SttlmTp>
2	Settlement No	VarChar (10)	<SctiesSttlmTxId>
3	Clearing Member Code	CHAR(6)	<ClrMmbld>
4	Trading Member Code/CP Code	CHAR(12)	<BrkrOrCtdnPtclId>
5	Client Code	CHAR(12)	<ClntId>
6	Instrument Type	CHAR(6)	<FinInstrmTp>
7	Symbol	CHAR(10)	<TckrSymb>
8	Expiry Date	DATE	<XpryDt>
9	Location	Varchar2 (20)	<BrnchId>
10	Obligation Date	DATE	<ClctnDt>
11	Funds Pay-in Date	DATE	<FndsPayInDt>
12	Funds Payout Date	DATE	<FndsPyoutDt>
13	Commodities Pay-in Date	DATE	<CmmdtiesPayInDt>
14	Commodities Payout Date	DATE	<CmmdtiesPyoutDt>
15	Buy Quantity	NUMBER(15)	<BuyTradgVol>
16	Sell Quantity	NUMBER(15)	<SellTradgVol>
17	Buy Amount	NUMBER(15)	<BuyTradgVal>
18	Sell Amount	NUMBER(15)	<SellTradgVal>

11. Final Obligation Report for Trading Member. (FOBG02)

- **Naming convention:** NCCL_FOBG02_<Member code>_DDMMYYYY.CSV
- The file shows funds and commodities settlement obligation of the TM/ CP for distinct combination of settlement type, settlement no.and commodity.
- The file would be generated after close of trading hours during tender period of delivery.
- This is a new report.

- The file structure for the same is as given below:

Sr. No.	Field	Data Type	TAGS
1	Settlement Type	Char (1)	<SttlmTp>
2	Settlement No	VarChar (10)	<SctiesSttlmTxId>
3	Trading Member Code/CP Code	CHAR(12)	<BrkrOrCtdnPtcpId>
4	Client Code	CHAR(12)	<ClntId>
5	Instrument Type	CHAR(6)	<FinInstrmTp>
6	Symbol	CHAR(10)	<TckrSymb>
7	Expiry Date	DATE	<XpryDt>
8	Location	Varchar2 (20)	<BrnchId>
9	Obligation Date	DATE	<ClctnDt>
10	Funds Pay-in Date	DATE	<FndsPayInDt>
11	Funds Payout Date	DATE	<FndsPayoutDt>
12	Commodities Pay-in Date	DATE	<CmmdtiesPayInDt>
13	Commodities Payout Date	DATE	<CmmdtiesPayoutDt>
14	Buy Quantity	NUMBER(15)	<BuyTradgVol>
15	Sell Quantity	NUMBER(15)	<SellTradgVol>
16	Buy Amount	NUMBER(15)	<BuyTradgVal>
17	Sell Amount	NUMBER(15)	<SellTradgVal>

12. Margin Reporting to Trading Member (MGN)

- Naming convention:** <Trading Member Code>_MGN_DDMMYYYYHHMMSS.CSV
- This file can be downloaded by Trading Members for reporting Margin collected and Excess Collateral with other CCs of their clients.
- The file structure for the same is as given below.

Sr. No.	Field	Data Type	TAGS
1	Date	DD_MMM-YYYY	<ValtnDt>

2	Trading Member Code	Var Char (12)	<BrkrOrCtdnPtcptld>
3	Client Code/CP Code	Var Char (12)	<Clntld>
4	Filler		
5	Filler		
6	Filler		
7	Initial Margin	Numeric (22,2)	<InitlMrgn>
8	Other Margin	Numeric (22,2)	<OthrMrgn>
9	MTM Margin	Numeric (22,2)	<MrkToMktMrgnRcvd>
10	Filler		
11	Filler		
12	Peak Margin	Numeric (22,2)	<AggtPeakLbly>
13	Initial Margin Collection	Numeric (22,2)	<InitlMrgnRcvd>
14	Other Margin Collection	Numeric (22,2)	<OthrMrgnRcvd>
15	MTM Margin Collection	Numeric (22,2)	<MrkToMktMrgnRcvd>
16	Filler		
17	Peak Margin reported	Numeric (22,2)	<AggtPeakLblyRcvd>
18	Shortfall in Initial Margin	Numeric (22,2)	<InitlMrgnDfcitAmt>
19	Shortfall in Other Margin	Numeric (22,2)	<OthrMrgnDfcitAmt>
20	Shortfall in MTM (Gain)/Loss	Numeric (22,2)	<MrkToMktMrgnDfcitAmt>
21	Shortfall in Peak Margin	Numeric (22,2)	<AggtPeakLblyDfcitAmt>
22	Filler		
23	Initial Margin at Peak Short Allocation	Numeric (22,2)	<InitlMrgnAtPeakShrtAllcn>
24	Collateral Value at EOD	Numeric (22,2)	<CollVal>
25	Collateral Value at Peak Short Allocation	Numeric (22,2)	<CollValAtPeakShrtAllcn>
26	Excess Collateral With Other CCs (ICCL)	Numeric (22,2)	<XcssCollWthOthrCCICCL>
27	Excess Collateral With Other CCs (MCXCCL)	Numeric (22,2)	<XcssCollWthOthrCCMCXCCL>

28	Excess Collateral With Other CCs (NCL)	Numeric (22,2)	<XcssCollWthOthrCCNCL>
29	Excess Collateral With Other CCs (Others)	Numeric (22,2)	<XcssCollWthOthrCC>
30	Delay In Processing Allocation Request	Numeric (22,2)	<DelyInPrcgAllcnReq>
31	Trade In Wrong Client Code	Numeric (22,2)	<TradInIncrctClntld>
32	Shortfall in EOD Short allocation	Numeric (22,2)	<EODShrtAllcnDfcitAmt>
33	Shortfall in Peak Short allocation	Numeric (22,2)	<PeakShrtAllcnDfcitAmt>

13. Margin Reporting to Clearing Member (CM_MGN)

- **Naming convention:** <Primary Member Code>_CM_MGN_DDMMYYYYHHMMSS.CSV
- This file can be downloaded by Clearing Members for reporting Margin collected and Excess Collateral with other CCs of their Trading Members.
- The file structure for the same is as given below.

Sr. No.	Field	Data Type	TAGS
1	Date	DD_MMM-YYYY	<ValtnDt>
2	Clearing Member ID	Varchar (12)	<ClrMmbld>
3	Trading Member Code	Var Char (12)	<BrkrOrCtdnPtcptld>
4	Filler		
5	Filler		
6	Filler		
7	Filler		
8	Initial Margin	Numeric (22,2)	<InitlMrgn>
9	Other Margin	Numeric (22,2)	<OthrMrgn>
10	MTM Margin	Numeric (22,2)	<MrkToMktMrgnRcvd>
11	Filler		
12	Filler		
13	Peak Margin	Numeric (22,2)	<AggtPeakLbilty>

14	Initial Margin Collection	Numeric (22,2)	<InitlMrgnRcvd>
15	Other Margin Collection	Numeric (22,2)	<OthrMrgnRcvd>
16	MTM Margin Collection	Numeric (22,2)	<MrkToMktMrgnRcvd>
17	Filler		
18	Peak Margin reported	Numeric (22,2)	<AggtPeakLblyRcvd>
19	Shortfall in Initial Margin	Numeric (22,2)	<InitlMrgnDfcitAmt>
20	Shortfall in Other Margin	Numeric (22,2)	<OthrMrgnDfcitAmt>
21	Shortfall in MTM (Gain)/Loss	Numeric (22,2)	<MrkToMktMrgnDfcitAmt>
22	Shortfall in Peak Margin	Numeric (22,2)	<AggtPeakLblyDfcitAmt>
23	Initial Margin at Peak Short Allocation	Numeric (22,2)	<InitlMrgnAtPeakShrtAllcn>
24	Collateral Value at EOD	Numeric (22,2)	<CollVal>
25	Collateral Value at Peak Short Allocation	Numeric (22,2)	<CollValAtPeakShrtAllcn>
26	Excess Collateral With Other CCs (ICCL)	Numeric (22,2)	<XcssCollWthOthrCCICCL>
27	Excess Collateral With Other CCs (MCXCCL)	Numeric (22,2)	<XcssCollWthOthrCCMCXCCL>
28	Excess Collateral With Other CCs (NCL)	Numeric (22,2)	<XcssCollWthOthrCCNCL>
29	Excess Collateral With Other CCs (Others)	Numeric (22,2)	<XcssCollWthOthrCC>
30	Delay In Processing Allocation Request	Numeric (22,2)	<DelyInPrcgAllcnReq>
31	Trade In Wrong Client Code	Numeric (22,2)	<TradInIncrctClntld>
32	Shortfall in EOD Short allocation	Numeric (22,2)	<EODShrtAllcnDfcitAmt>
33	Shortfall in Peak Short allocation	Numeric (22,2)	<PeakShrtAllcnDfcitAmt>

14. Margin Reporting from Trading Member (MGN)

- **Naming convention:** <Trading Member Code>_MGN_DDMMYYYYHHMMSS.CSV:
- This file can be Uploaded by Trading Members for reporting Margin collected and Excess Collateral with other CCs of their clients.
- The file structure for the same is as given below.

Sr. No.	Field	Data Type	TAGS
1	Date	DD_MMM-YYYY	<ValtnDt>
2	Trading Member Code	Var Char (12)	<BrkrOrCtdnPtctld>
3	Client Code/CP Code	Var Char (12)	<Clntld>
4	Filler		
5	Filler		
6	Filler		
7	Initial Margin	Numeric (22,2)	<InitlMrgn>
8	Other Margin	Numeric (22,2)	<OthrMrgn>
9	MTM Margin	Numeric (22,2)	<MrkToMktMrgnRcvd>
10	Filler		
11	Filler		
12	Peak Margin	Numeric (22,2)	<AggtPeakLbilty>
13	Initial Margin Collection	Numeric (22,2)	<InitlMrgnRcvd>
14	Other Margin Collection	Numeric (22,2)	<OthrMrgnRcvd>
15	MTM Margin Collection	Numeric (22,2)	<MrkToMktMrgnRcvd>
16	Filler		
17	Peak Margin reported	Numeric (22,2)	<AggtPeakLbiltyRcvd>
18	Shortfall in Initial Margin	Numeric (22,2)	<InitlMrgnDfcitAmt>
19	Shortfall in Other Margin	Numeric (22,2)	<OthrMrgnDfcitAmt>
20	Shortfall in MTM (Gain)/Loss	Numeric (22,2)	<MrkToMktMrgnDfcitAmt>
21	Shortfall in Peak Margin	Numeric (22,2)	<AggtPeakLbiltyDfcitAmt>
22	Filler		
23	Initial Margin at Peak Short Allocation	Numeric (22,2)	<InitlMrgnAtPeakShrtAllcn>
24	Collateral Value at EOD	Numeric (22,2)	<CollVal>
25	Collateral Value at Peak Short Allocation	Numeric (22,2)	<CollValAtPeakShrtAllcn>

26	Excess Collateral With Other CCs (ICCL)	Numeric (22,2)	<XcssCollWthOthrCCICCL>
27	Excess Collateral With Other CCs (MCXCCL)	Numeric (22,2)	<XcssCollWthOthrCCMCXCCL>
28	Excess Collateral With Other CCs (NCL)	Numeric (22,2)	<XcssCollWthOthrCCNCL>
29	Excess Collateral With Other CCs (Others)	Numeric (22,2)	<XcssCollWthOthrCC>
30	Delay In Processing Allocation Request	Numeric (22,2)	<DelyInPrcgAllcnReq>
31	Trade In Wrong Client Code	Numeric (22,2)	<TradInIncrctClntId>
32	Shortfall in EOD Short allocation	Numeric (22,2)	<EODShrtAllcnDfcitAmt>
33	Shortfall in Peak Short allocation	Numeric (22,2)	<PeakShrtAllcnDfcitAmt>

15. Margin Reporting from Clearing Member (CM_MGN)

- **Naming convention:** <Primary Member Code>_CM_MGN_DDMMYYYYHHMMSS.CSV
- This file can be Uploaded by Clearing Members for reporting Margin collected and Excess Collateral with other CCs of their Trading Members.
- The file structure for the same is as given below

Sr. No.	Field	Data Type	TAGS
1	Date	DD_MMM-YYYY	<ValtnDt>
2	Clearing Member ID	Varchar(12)	<ClrMmbld>
3	Trading Member Code	Var Char (12)	<BrkrOrCtdnPtcptId>
4	Filler		
5	Filler		
6	Filler		
7	Filler		
8	Initial Margin	Numeric (22,2)	<InitlMrgn>
9	Other Margin	Numeric (22,2)	<OthrMrgn>
10	MTM Margin	Numeric (22,2)	<MrkToMktMrgnRcvd>
11	Filler		

12	Filler		
13	Peak Margin	Numeric (22,2)	<AggtPeakLbilty>
14	Initial Margin Collection	Numeric (22,2)	<InitlMrgnRcvd>
15	Other Margin Collection	Numeric (22,2)	<OthrMrgnRcvd>
16	MTM Margin Collection	Numeric (22,2)	<MrkToMktMrgnRcvd>
17	Filler		
18	Peak Margin reported	Numeric (22,2)	<AggtPeakLbiltyRcvd>
19	Shortfall in Initial Margin	Numeric (22,2)	<InitlMrgnDfcitAmt>
20	Shortfall in Other Margin	Numeric (22,2)	<OthrMrgnDfcitAmt>
21	Shortfall in MTM (Gain)/Loss	Numeric (22,2)	<MrkToMktMrgnDfcitAmt>
22	Shortfall in Peak Margin	Numeric (22,2)	<AggtPeakLbiltyDfcitAmt>
23	Initial Margin at Peak Short Allocation	Numeric (22,2)	<InitlMrgnAtPeakShrtAllcn>
24	Collateral Value at EOD	Numeric (22,2)	<CollVal>
25	Collateral Value at Peak Short Allocation	Numeric (22,2)	<CollValAtPeakShrtAllcn>
26	Excess Collateral With Other CCs (ICCL)	Numeric (22,2)	<XcssCollWthOthrCCICCL>
27	Excess Collateral With Other CCs (MCXCCL)	Numeric (22,2)	<XcssCollWthOthrCCMCXCCL>
28	Excess Collateral With Other CCs (NCL)	Numeric (22,2)	<XcssCollWthOthrCCNCL>
29	Excess Collateral With Other CCs (Others)	Numeric (22,2)	<XcssCollWthOthrCC>
30	Delay In Processing Allocation Request	Numeric (22,2)	<DelyInPrcgAllcnReq>
31	Trade In Wrong Client Code	Numeric (22,2)	<TradInIncrctClntId>
32	Shortfall in EOD Short allocation	Numeric (22,2)	<EODShrtAllcnDfcitAmt>
33	Shortfall in Peak Short allocation	Numeric (22,2)	<PeakShrtAllcnDfcitAmt>

16. Margin Reporting Response file for Trading Member

- Naming convention:**

<TMID>_DDMMYYYY_Client_Margin_Status_Report_DDMMYYYYHHMMSS.CSV

- This file can be downloaded by Trading Members in response to the Margin collected and Excess Collateral with other CCs reported for their clients.
- The file structure for the same is as given below.

Sr. No.	Field	Data Type	TAGS
1	Date	DD_MMM-YYYY	<ValtnDt>
2	Trading Member Code	Var Char (12)	<BrkrOrCtdnPtctId>
3	Client Code/CP Code	Var Char (12)	<ClntId>
4	Filler		
5	Filler		
6	Filler		
7	Initial Margin	Numeric (22,2)	<InitlMrgn>
8	Other Margin	Numeric (22,2)	<OthrMrgn>
9	MTM Margin	Numeric (22,2)	<MrkToMktMrgnRcvd>
10	Filler		
11	Filler		
12	Peak Margin	Numeric (22,2)	<AggtPeakLbilty>
13	Initial Margin Collection	Numeric (22,2)	<InitlMrgnRcvd>
14	Other Margin Collection	Numeric (22,2)	<OthrMrgnRcvd>
15	MTM Margin Collection	Numeric (22,2)	<MrkToMktMrgnRcvd>
16	Filler		
17	Peak Margin reported	Numeric (22,2)	<AggtPeakLbiltyRcvd>
18	Shortfall in Initial Margin	Numeric (22,2)	<InitlMrgnDfcitAmt>
19	Shortfall in Other Margin	Numeric (22,2)	<OthrMrgnDfcitAmt>
20	Shortfall in MTM (Gain)/Loss	Numeric (22,2)	<MrkToMktMrgnDfcitAmt>
21	Shortfall in Peak Margin	Numeric (22,2)	<AggtPeakLbiltyDfcitAmt>
22	Filler		
23	Initial Margin at Peak Short Allocation	Numeric (22,2)	<InitlMrgnAtPeakShrtAllcn>

24	Collateral Value at EOD	Numeric (22,2)	<CollVal>
25	Collateral Value at Peak Short Allocation	Numeric (22,2)	<CollValAtPeakShrtAllcn>
26	Excess Collateral With Other CCs (ICCL)	Numeric (22,2)	<XcssCollWthOthrCCICCL>
27	Excess Collateral With Other CCs (MCXCCL)	Numeric (22,2)	<XcssCollWthOthrCCMCXCCL>
28	Excess Collateral With Other CCs (NCL)	Numeric (22,2)	<XcssCollWthOthrCCNCL>
29	Excess Collateral With Other CCs (Others)	Numeric (22,2)	<XcssCollWthOthrCC>
30	Delay In Processing Allocation Request	Numeric (22,2)	<DelyInPrcgAllcnReq>
31	Trade In Wrong Client Code	Numeric (22,2)	<TradInIncrctCltId>
32	Shortfall in EOD Short allocation	Numeric (22,2)	<EODShrtAllcnDfcitAmt>
33	Shortfall in Peak Short allocation	Numeric (22,2)	<PeakShrtAllcnDfcitAmt>
34	Filler		
35	Status/ Reason code	Varchar (2)	<RcrdSts>

17. Margin Reporting Response file for Clearing Member

- **Naming convention:**
 <TMID>_DDMMYYYY_Member_Margin_Status_Report_DDMMYYYYHHMMSS.CSV
- This file can be downloaded by Clearing Members in response to the Margin collected and Excess Collateral with other CCs reported for their Trading Members.
- The file structure for the same is as given below.

Sr. No.	Field	Data Type	TAGS
1	Date	DD_MMM-YYYY	<ValtnDt>
2	Clearing Member ID	Varchar(12)	<ClrMmbld>
3	Trading Member Code	Var Char (12)	<BrkrOrCtdnPtcptId>
4	Filler		
5	Filler		
6	Filler		

7	Filler		
8	Initial Margin	Numeric (22,2)	<InitlMrgn>
9	Other Margin	Numeric (22,2)	<OthrMrgn>
10	MTM Margin	Numeric (22,2)	<MrkToMktMrgnRcvd>
11	Filler		
12	Filler		
13	Peak Margin	Numeric (22,2)	<AggtPeakLbly>
14	Initial Margin Collection	Numeric (22,2)	<InitlMrgnRcvd>
15	Other Margin Collection	Numeric (22,2)	<OthrMrgnRcvd>
16	MTM Margin Collection	Numeric (22,2)	<MrkToMktMrgnRcvd>
17	Filler		
18	Peak Margin Reported	Numeric (22,2)	<AggtPeakLblyRcvd>
19	Shortfall in Initial Margin	Numeric (22,2)	<InitlMrgnDfcitAmt>
20	Shortfall in Other Margin	Numeric (22,2)	<OthrMrgnDfcitAmt>
21	Shortfall in MTM (Gain)/Loss	Numeric (22,2)	<MrkToMktMrgnDfcitAmt>
22	Shortfall in Peak Margin	Numeric (22,2)	<AggtPeakLblyDfcitAmt>
23	Initial Margin at Peak Short Allocation	Numeric (22,2)	<InitlMrgnAtPeakShrtAllcn>
24	Collateral Value at EOD	Numeric (22,2)	<CollVal>
25	Collateral Value at Peak Short Allocation	Numeric (22,2)	<CollValAtPeakShrtAllcn>
26	Excess Collateral With Other CCs (ICCL)	Numeric (22,2)	<XcssCollWthOthrCCICCL>
27	Excess Collateral With Other CCs (MCXCCL)	Numeric (22,2)	<XcssCollWthOthrCCMCXCCL>
28	Excess Collateral With Other CCs (NCL)	Numeric (22,2)	<XcssCollWthOthrCCNCL>
29	Excess Collateral With Other CCs (Others)	Numeric (22,2)	<XcssCollWthOthrCC>
30	Delay In Processing Allocation Request	Numeric (22,2)	<DelyInPrcgAllcnReq>
31	Trade In Wrong Client Code	Numeric (22,2)	<TradInIncrctClntId>
32	Shortfall in EOD Short allocation	Numeric (22,2)	<EODShrtAllcnDfcitAmt>

33	Shortfall in Peak Short allocation	Numeric (22,2)	<PeakShrtAllcnDfcitAmt>
34	Filler		
35	Status	Varchar (2)	<RcrdSts>

18. Client Penalty Report (PLN01)

- **Naming convention:** NCCL_PNL01_<Trading Member Code>DDMMYYYY.csv
- This file can be downloaded by Trading Members giving details of penalties levied for their clients.
- The file structure for the same is as given below.

Sr. No.	Field	Data Type	TAGS
1	Trade Date	Date	<TradDt>
2	Client Code	Varchar(12)	<ClntId>
3	Shortfall Amount	Number(20,2)	<DfcitAmt>
4	Penalty Amount	Number(20,2)	<PnltyAmt>
5	GST Amount	Number(20,2)	<GSTAmt>
6	Total Penalty Amount	Number(20,2)	<TtlPnltyAmt>

19. Member Penalty Report (PLN02)

- Naming convention: NCCL_PNL02_<Clearing Member Code>DDMMYYYY.csv
- This file can be downloaded by Clearing Members giving details of penalties levied for their Trading Members.
- The file structure for the same is as given below.

Sr. No.	Field	Data Type	TAGS
1	Trade Date	Date	<TradDt>
2	TM Code/ CP Code	Varchar(12)	<BrkrOrCtdnPtcptId>
3	Shortfall Amount	Number(20,2)	<DfcitAmt>

4	Penalty Amount	Number(20,2)	<PnltyAmt>
5	GST Amount	Number(20,2)	<GSTAmt>
6	Total Penalty Amount	Number(20,2)	<TtlPnltyAmt>