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**NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED**

Circular to all the members of the Exchange

Circular No. : NCDEX/INVESTOR SERVICES-005/2024

Date : October 14, 2024

Subject : Mandatory Reporting of National Company Law Tribunal (NCLT) proceedings by Members

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It has been observed that certain clients have approached the National Company Law Tribunal (NCLT) for reliefs instead of filing their claim as per the Investor Grievance Redressal Mechanism provided by SEBI and the Exchange. This can result in significant depletion of the Member's assets, potentially leaving other clients without the ability to recover their dues.

The Exchanges, in joint consultation with the Industry Standard Forum (ISF), has decided that the concerned member shall report cases admitted in NCLT against such member entity or its promoters to the Exchange within one week of the admission of such case.

The Members are advised to provide such information, if any, to the Exchange via email to [askus@ncdex.com](mailto:askus@ncdex.com), in the format given hereunder;

Name of the Trading Member	TMID	Client Name	Client Id	Complaint against Member / its promoters	Name of Promoters(if the complaint is against promoter)	Date of the case admitted in NCLT	Reason for delay, if any

All the members are hereby advised to take note of the above and ensure compliance.

For and on behalf of

**National Commodity & Derivatives Exchange Limited**

Smita Chaudhary

Sr. Vice President – Investor Services

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For further information, / clarifications, please contact

1. Customer Service Group on toll free number: 1800 26 62339
2. Customer Service Group by e-mail to : [askus@ncdex.com](mailto:askus@ncdex.com)