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**NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED**

Circular to all members of the Exchange

Circular No : NCDEX/COMPLIANCE-041/2024

Date : May 21, 2024

Subject : Reminder – Submission of data pertaining to Risk Based Supervision and Internal Audit Report

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As per SEBI Directives, Members are required to submit the submissions for period ended March 31, 2024 on or before due date **May 31, 2024** as stated in the table below:

Sr. No.	Compliance	Exchange circular for reference	Submission method
1	Risk Based Supervision (RBS)	NCDEX/COMPLIANCE-028/2023 dated April 15, 2024	NCFE portal
2	Internal Audit Report (IAR)	NCDEX/COMPLIANCE-030/2024 dated April 23, 2024	Email IAR to <a href="mailto:iar_submission@ncdex.com">iar_submission@ncdex.com</a>

Members are reminded to submit the aforesaid submissions (if not submitted) on or before due date to avoid any disciplinary action. Non-submission / delayed submission shall attract penalty / disciplinary actions as per Exchange Circular no. NCDEX/COMPLIANCE-111/2023 dated October 19, 2023 or aforesaid circulars, as applicable.

Members are advised to ensure compliance with the above regulatory requirements.

For and on behalf of

**National Commodity & Derivatives Exchange Limited**

Pranesh Shetty

Vice President – Inspection & Enforcement

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For further information/clarifications, please contact

1. Customer Service Group on toll free number: 1800 26 62339
2. Customer Service Group by e-mail to : [askus@ncdex.com](mailto:askus@ncdex.com)