NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED

Circular to all members of the Exchange
Circular No : NCDEX/COMPLIANCE-052/2023
Date : May 17, 2023
Subject : Reminder – Submission of data pertaining to Risk Based Supervision and Internal Audit Report

As per SEBI Directives, Members are required to submit the submissions for period ended March 31, 2023 on or before due date **May 31, 2023** as stated in the table below:

Sr. No.	Compliance	Exchange circular for reference	Submission method
1	Risk Based Supervision (RBS)	NCDEX/COMPLIANCE-046/2023 dated April 12, 2023	NCFE portal
2	Internal Audit Report (IAR)	NCDEX/COMPLIANCE-045/2023 dated April 11, 2023	Email IAR to **iar_submission@ncdex.com**

Members are reminded to submit the aforesaid submissions (if not submitted) on or before due date to avoid any disciplinary action. Non-submission / delayed submission shall attract penalty / disciplinary actions as per Exchange Circular no. NCDEX/COMPLIANCE-020/2017/285 dated October 31, 2017 or aforesaid referred circulars, as applicable.

Members are advised to ensure compliance with the above regulatory requirements.

For and on behalf of
National Commodity & Derivatives Exchange Limited

Pranesh Shetty
Vice President – Audit & Inspection

For further information/clarifications, please contact
1. Customer Service Group on toll free number: 1800 26 62339
2. Customer Service Group by e-mail to : askus@ncdex.com