
NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED

Circular to all members of the Exchange

Circular No : NCDEX/COMPLIANCE-064/2025

Date : November 13, 2025

Subject : Reminder – Submission of data pertaining to Risk Based Supervision and Internal Audit Report

As per SEBI Directives, Members are required to submit the below reports for period ended September 30, 2025 on or before due date **November 30, 2025** as stated in the table below:

Sr. No.	Compliance	Exchange circular for reference	Submission method
1	Risk Based Supervision (RBS)	NCDEX/COMPLIANCE-054/2025 dated October 06, 2025	NCFE portal
2	Internal Audit Report (IAR)	NCDEX/COMPLIANCE-058/2025 dated October 16, 2025	Email IAR to iar_submission@ncdex.com

Members are reminded to submit the aforesaid submissions (if not submitted) on or before due date to avoid any disciplinary action. Non-submission / delayed submission / deficiencies and non-compliances shall attract penalty / disciplinary actions as per Exchange Circular no. NCDEX/COMPLIANCE-056/2025 dated October 10, 2025 or aforesaid circulars, as applicable.

Members are advised to ensure compliance with the above regulatory requirements.

For and on behalf of

National Commodity & Derivatives Exchange Limited

Pranesh Shetty

Senior Vice President – Inspection & Enforcement

For further information/clarifications, please contact

1. Customer Service Group on toll free number: 1800 26 62339
2. Customer Service Group by e-mail to : askus@ncdex.com

Registered Office: 1st Floor, Ackruti Corporate Park, Near G.E. Garden, LBS Road, Kanjurmarg West, Mumbai 400 078, India. CIN No. U51909MH2003PLC140116
Phone: +91-22-6640 6789, Fax +91-22-6640 6899, Website: www.ncdex.com