
NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED

Circular to all members of the Exchange

Circular No : NCDEX/COMPLIANCE-048/2024

Date : June 20, 2024

Subject : Reminder – Submission of data pertaining to Internal Audit Report
and System Audit Report

As per SEBI Directives, Members are required to submit the below reports for period ended March 31, 2024 on or before due date **June 30, 2024** as stated in the table below:

Sr. No.	Compliance	Exchange circular for reference	Submission method
1	Internal Audit Report (IAR)	NCDEX/COMPLIANCE-030/2024 dated Apr 23, 2024 & NCDEX/COMPLIANCE-044/2024 dated May 30, 2024	Email IAR to iar_submission@ncdex.com
2	System Audit Report (SAR)	NCDEX/COMPLIANCE-035/2024 dated May 03, 2024	Email SAR to sar_submission@ncdex.com

Members are reminded to submit the aforesaid submissions (if not submitted) on or before due date to avoid any disciplinary action. Non-submission / delayed submission shall attract penalty / disciplinary actions as per Exchange Circular no. NCDEX/COMPLIANCE-111/2023 dated October 19, 2023 or aforesaid circulars, as applicable.

Members are advised to ensure compliance with the above regulatory requirements.

For and on behalf of
National Commodity & Derivatives Exchange Limited

Pranesh Shetty
Vice President – Inspection & Enforcement

For further information/clarifications, please contact

1. Customer Service Group on toll free number: 1800 26 62339
2. Customer Service Group by e-mail to : askus@ncdex.com