
NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED

Circular to all members of the Exchange

Circular No : NCDEX/COMPLIANCE-046/2023

Date : April 12, 2023

Subject : Risk Based Supervision - Submission of Information for the period -
April 01, 2022 – March 31, 2023

As per SEBI Directives, Members are required to submit the information / data towards the Risk Based Supervision for the period **April 01, 2022 – March 31, 2023** to the Exchange latest by **May 31, 2023**.

The particulars of such information / data sought in this regard (Annexure A), charges for non submission/delayed submission (Annexure B) and process manual & FAQs for submission are available in the downloads section on the Exchange website https://ncdex.com/quick_links/download as **Risk Based Supervision (RBS) Annexures**. These details are to be submitted to the Exchange through the Compliance module on NCFE portal.

It is to be noted that the submission of RBS data is mandatory for all active members of the Exchange (i.e. those who have executed even a single trade during the period **April 01, 2022 – March 31, 2023**). Further, the data collated from the members and the Exchange towards RBS is shared with SEBI and in case of any non-submission/delayed submission by a member, disciplinary action as prescribed in Annexure B will be initiated. Any false/incorrect submission of data will be viewed seriously by the Exchange.

Members are advised to ensure compliance with the above regulatory requirements.

For and on behalf of
National Commodity & Derivatives Exchange Limited

Pranesh Shetty
Vice President – Audit & Inspection

For further information/clarifications, please contact

1. Customer Service Group on toll free number: 1800 266 2339
2. Customer Service Group by e-mail to : askus@ncdex.com