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**NATIONAL COMMODITY CLEARING LIMITED**

Circular to all Members of the Clearing Corporation

Circular No. : NCCL/CLEARING-048/2025

Date : August 21, 2025

Subject : Change in CL01 and CL02 collateral reports

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This is in partial modification to our Circular no. NCCL/CLEARING-021/2025 dated April 15, 2025 on "Format of Report downloads for Clearing and Settlement". The following reports have been modified.

| Sr. No. | Format                 | File Name Details   | Annexure   |
|---------|------------------------|---|------------|
| 1       | <u>CL01_01/CL01_02</u> | Collateral Report - Statement of Capital and Collateral Breakup | Annexure 1 |
| 2       | <u>CL02_01/CL02_02</u> | Collateral Report – Base Minimum Capital (BMC)                  | Annexure 2 |

The revised file format shall be applicable from trade date 01<sup>st</sup> September 2025.

Members are requested to take note of the same.

For and on behalf of

**National Commodity Clearing Limited**

Sweedin Satav

Senior Vice President

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For further information / clarifications, please contact

1. Customer Service Group on toll free number: 1800 266 6007
2. Customer Service Group by E-mail to: [contactus@nccl.co.in](mailto:contactus@nccl.co.in)

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**Annexure 1****Collateral Report - Statement of Capital and Collateral Breakup (CL01\_01 & CL01\_02)**

- **Naming convention:** NCCL\_CL01\_<Member code>\_DDMMYYYY\_01/02.LIS
- The CL01\_01 report contains details of collateral submitted by the Clearing Members in NCCL (towards Base Capital and Additional Base Capital) before the start of market for each business day & CL01\_02 report contains collaterals information at the end of the day.
- The same shall be generated twice and provided on the end and start of each business day.
- The file structure for the same is as given below:

**Statement of Capital and Collateral Breakup**

Member Type:

Date:

Member Code:

Member Name:

S.No    Particulars

Amount (Rs.)    Amount (Rs.)

**1)      BASE CAPITAL**

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- 1.1    NCCL Deposit (Amount deposited with NCCL towards clearing membership requirement and towards deposit requirement for each trading member clearing and settling through the clearing member Sum of 1.1.1 to 1.1.11 )
  - 1.1.1    Bank Guarantee (Annexure A)
  - 1.1.2    Cash Deposit
  - 1.1.3    Fixed Deposit Receipts (Annexure B)
  - 1.1.4    Cash Mutual Fund
  - 1.1.5    Non-Cash Mutual Fund
  - 1.1.6    OMF
  - 1.1.7    GMF
  - 1.1.8    GEC (Government Securities)
  - 1.1.9    Securities
  - 1.1.10    Commodities
  - 1.1.11    Additional Cash Security Deposit for each Trading Member Clearing and Settling through the Clearing Member

## 2) ADDITIONAL BASE CAPITAL

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- 2.1. Bank Guarantee (Annexure A)
- 2.2. Cash Deposit
- 2.3. Fixed Deposit (Annexure B)
- 2.4. Cash Mutual Fund
- 2.5. Non-Cash Mutual Fund
- 2.6. OMF
- 2.7. GMF
- 2.8. GEC (Government Securities)
- 2.9. Securities
- 2.10. Commodities

Total Additional Base Capital (Sum of 2.1 to 2.10)

## 3) TOTAL CAPITAL

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Annexure A:

Details of BC-Bank Guarantee:

| S.NO | BG. No. | Issuing Bank | Issue Date | Maturity Date | Amount (Rs) |
|------|---------|--------------|------------|---------------|-------------|
|------|---------|--------------|------------|---------------|-------------|

Details of ABC-Bank Guarantee:

| S.NO | BG. No. | Issuing Bank | Issue Date | Maturity Date | Amount (Rs) |
|------|---------|--------------|------------|---------------|-------------|
|------|---------|--------------|------------|---------------|-------------|

Annexure B:

Details of BC-Fixed Deposit:

| S.NO | FDR. No. | Issuing Bank | Issue Date | Maturity Date | Amount (Rs) |
|------|----------|--------------|------------|---------------|-------------|
|------|----------|--------------|------------|---------------|-------------|

Details of ABC-Fixed Deposit:

| S.NO | FDR. No. | Issuing Bank | Issue Date | Maturity Date | Amount (Rs) |
|------|----------|--------------|------------|---------------|-------------|
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**Annexure 2****Collateral Report – Base Minimum Capital (BMC) (CL02\_01 & CL02\_02)**

- **Naming convention:** NCDEX\_CL02\_<Member Code>\_DDMMYYYY\_01/02.LIS
- The CL02\_01 report contains details of collateral submitted in NCDEX (towards Base Minimum Capital and Interest Free Security Deposit) before the start of market for each business day & CL02\_02 report contains collaterals information at the end of the day.
- The same shall be generated twice and provided on the end and start of each business day.
- The file structure for the same is as given below:

**Statement of BASE MINIMUM CAPITAL & IFSD Breakup**

Member Type:

Date:

Member Code:

Member Name:

S.No    Particulars

Amount (Rs.)    Amount (Rs.)

1)      **BASE MINIMUM CAPITAL**

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1.1. Total Base Minimum Capital (Amount deposited with NCDEX towards base minimum capital. (Sum of 1.1.1 to 1.1.3)

1.1.1 Bank Guarantee (Annexure A)

1.1.2 Cash Deposit

1.1.3 Fixed Deposit Receipts (Annexure B)

2)      **INTEREST FREE SECURITY DEPOSIT (IFSD)**

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(Amount deposited with NCDEX as IFSD towards trading membership requirement for which no exposure is provided)

NOTE: In case of any discrepancy, the same may be clarified with the NCDEX

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Annexure A:

Details of BMC-Bank Guarantee:

| S.NO | BG. No. | Issuing Bank | Issue Date | Maturity Date | Amount (Rs) |
|------|---------|--------------|------------|---------------|-------------|
|------|---------|--------------|------------|---------------|-------------|

Annexure B:

Details of BMC-Fixed Deposit:

| S.NO | FDR. No. | Issuing Bank | Issue Date | Maturity Date | Amount (Rs) |
|------|----------|--------------|------------|---------------|-------------|
|------|----------|--------------|------------|---------------|-------------|