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**NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED**

Circular No. : NCDEX/Member Tech Compliance-006/24

Date : July 18, 2024

Subject : Advisory for Software as a Service (SaaS) based solutions (Half Yearly from January 2024 to June 2024)

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This is with reference to the SEBI Circular No. SEBI/HO/MIRSD2/DOR/CIR/P/2020/221 dated November 03, 2020 and Exchange Circulars No. NCDEX/RISK-008/2022 dated October 20, 2022 “Advisory for Financial Sector Organizations regarding Software as a Service (SaaS) based solutions”.

A copy of the report format is enclosed as Annexure – I for the period from January 2024 to June 2024 (Half Yearly).

Members are requested to take note of the same and arrange for compliance with the requirements specified in the said Circular and submit the report by July 31, 2024 in the NCFE portal under the Tab 'Compliance', Sub tab '**Information Security**', select “**Compliance of the SEBI circular regarding Software as a Service (SaaS) based solutions**”.

**For and on behalf of  
National Commodity & Derivatives Exchange Limited**

**Ravindra Shetty  
Senior Vice President – Member Tech Compliance**

### Annexure – I

<<Member Name>>  
 <<Address>>  
 <<Email Address>>  
 <<Information Security Contact Person>>

**“Compliance of the SEBI circular for Advisory for Financial Sector Organizations regarding Software as a Service (SaaS) based solutions”**

This communication is a pursuant to Exchange’s Circulars NCDEX/RISK-008/2022 dated October 20, 2022.

Under guidance received from SEBI as per circular – SEBI/HO/MIRSD2/DOR/CIR/P/2020/221 & subsequent Amber advisory from CERT-In – 201155100308.

<<Member Name>> would like to confirm that specified confidential data and data types (as specified in the CERT-In advisory) are **hosted / not hosted** on with SaaS provider / <<Member Name>> use or does not use any SaaS based GRC solutions. **Half-yearly report** for the period **January 2024 to June 2024**.

If Yes, kindly provide your responses in the below format.

CSP Name	Nature of service consumed	Environment usage (Including nature of data exchanged)	Geo-Location for hosting	Gaps against Circular	Deadline to close the Gaps

\*CSP – Cloud Service Providers