
NATIONAL COMMODITY & DERIVATIVES EXCHANGE LIMITED

Circular to all members of the Exchange

Circular No. : NCDEX/Member Tech Compliance-001/2024

Date : January 10, 2024

Subject : Advisory for Financial Sector Organizations regarding Software as Service (SaaS) based solutions (Half Yearly from July 2023 to December 2023)

This is with reference to the SEBI Circular No. SEBI/HO/MIRSD2/DOR/CIR/P/2020/221 dated November 03, 2020 and Exchange Circulars No. NCDEX/RISK-008/2022 dated October 20, 2022, NCDEX/RISK-001/2023 dated January 24, 2023 and NCDEX/Member Tech Compliance-001/2023 dated July 13, 2023 on “Advisory for Financial Sector Organizations regarding Software as a Service (SaaS) based solutions”.

A copy of the report format is enclosed as Annexure – I for the period from July 2023 to December 2023 (Half Yearly).

Members are requested to take note of the same and arrange for compliance with the requirements specified in the said Circular and submit the report by January 31, 2024 in the NCFE portal under the Tab '**Compliance**', Sub tab '**Information Security**', select “**Compliance of the SEBI circular regarding Software as a Service (SaaS) based solutions**”.

For and on behalf of
National Commodity & Derivatives Exchange Limited

Ravindra Shetty
Senior Vice President – Member Tech Compliance

For further information / clarifications, please contact

1. Customer Service Group on toll free number: 1800 26 62339
2. Customer Service Group by e-mail to : askus@ncdex.com

Annexure – I

<<Member Name>> <<Address>> <<Email Address>> <<Information Security Contact Person>>

“Compliance of the SEBI circular for Advisory for Financial Sector Organizations regarding Software as a Service (SaaS) based solutions”

This communication is a pursuant to Exchange’s Circulars NCDEX/RISK-008/2022 dated October 20, 2022, NCDEX/RISK-001/2023 dated January 24, 2023 and NCDEX/Member Tech Compliance-001/2023 dated July 13, 2023.

Under guidance received from SEBI as per circular – SEBI/HO/MIRSD2/DOR/CIR/P/2020/221 & subsequent Amber advisory from CERT-In – 201155100308.

<<Member Name>> would like to confirm that specified confidential data and data types (as specified in the CERT-In advisory) are **hosted / not hosted** on with SaaS provider / **<<Member Name>>** use or does not use any SaaS based GRC solutions. **Half-yearly report** for the period **July 2023 to December 2023**.

If Yes, kindly provide your responses in the below format.

CSP Name	Nature of service consumed	Environment usage (Including nature of data exchanged)	Geo-Location for hosting	Gaps against Circular	Deadline to close the Gaps

*CSP – Cloud Service Providers