

- (1) Documents required for complaint resolution:
  - Complete Account Opening Kit
  - Contract notes
  - Ledger statement
  - Bank statement
  - Demat transaction statement
  - Any other document in support of claim
- (2) Multi-level dispute resolution mechanism available at the Exchange:
  - Amicable resolution at Exchange level
  - If no amicable resolution arrived at, matter is referred to Investor Grievance Redressal Committee who are independent entities set up for the compliant resolution.
  - Arbitration proceedings
  - Appellate Arbitration proceedings